

Export LC Transfer - User Guide
Oracle Banking Trade Finance Process Management
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Oracle Banking Trade Finance Process Management - Export LC Transfer User Guide
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Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing trade finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle trade finance transaction.
- Help users to conveniently create and process trade finance transaction

Overview

OBTFPM is a trade finance middle office platform, which enables bank to streamline the trade finance operations. OBTFPM enables the customers to send request for new trade finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

Benefits

OBTFPM helps banks to manage trade finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all trade finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

Export LC Transfer

Using the Export Transfer LC process, the user can register request for an Export LC Transfer received at the front desk.

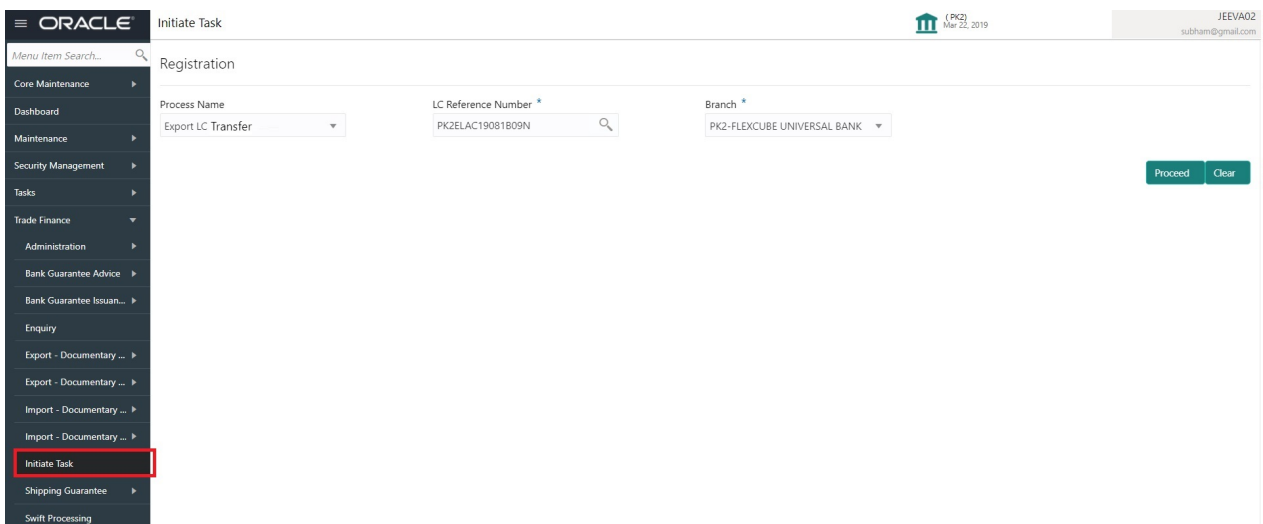
This section contains the following topics:

Common Initiation Stage	Registration
Scrutiny	Data Enrichment
Customer Response - Draft Confirmation	Multi Level Approval
Customer - Acknowledgement Format	Customer - Reject Format

Common Initiation Stage

The user can initiate the new export LC transfer request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.
2. Click **Trade Finance > Initiate Task**.



Provide the details based on the description in the following table:

Field	Description
Process Name	Select the process name to initiate the task.
LC Reference Number	Select the LC Reference Number.
Branch	Select the branch.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description
Proceed	Task will get initiated to next logical stage.

Field	Description
Clear	The user can clear the contents update and can input values again.

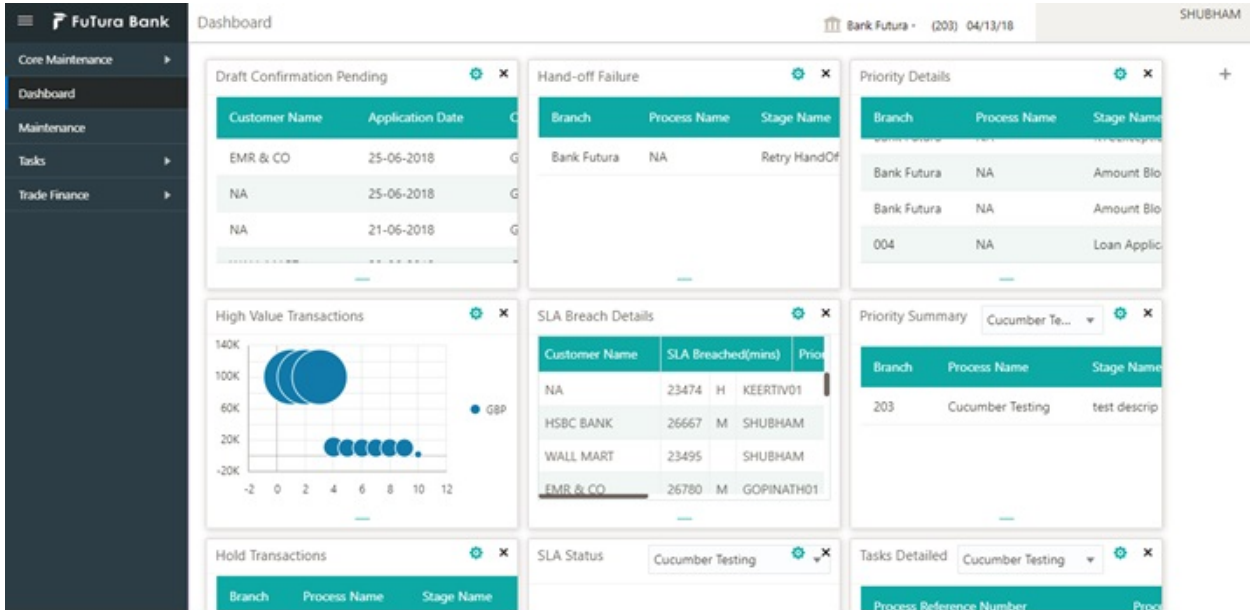
Registration

During the Registration stage, the user can register a request for an Export LC Transfer received at the front desk (as an application received physically/received by mail/fax).

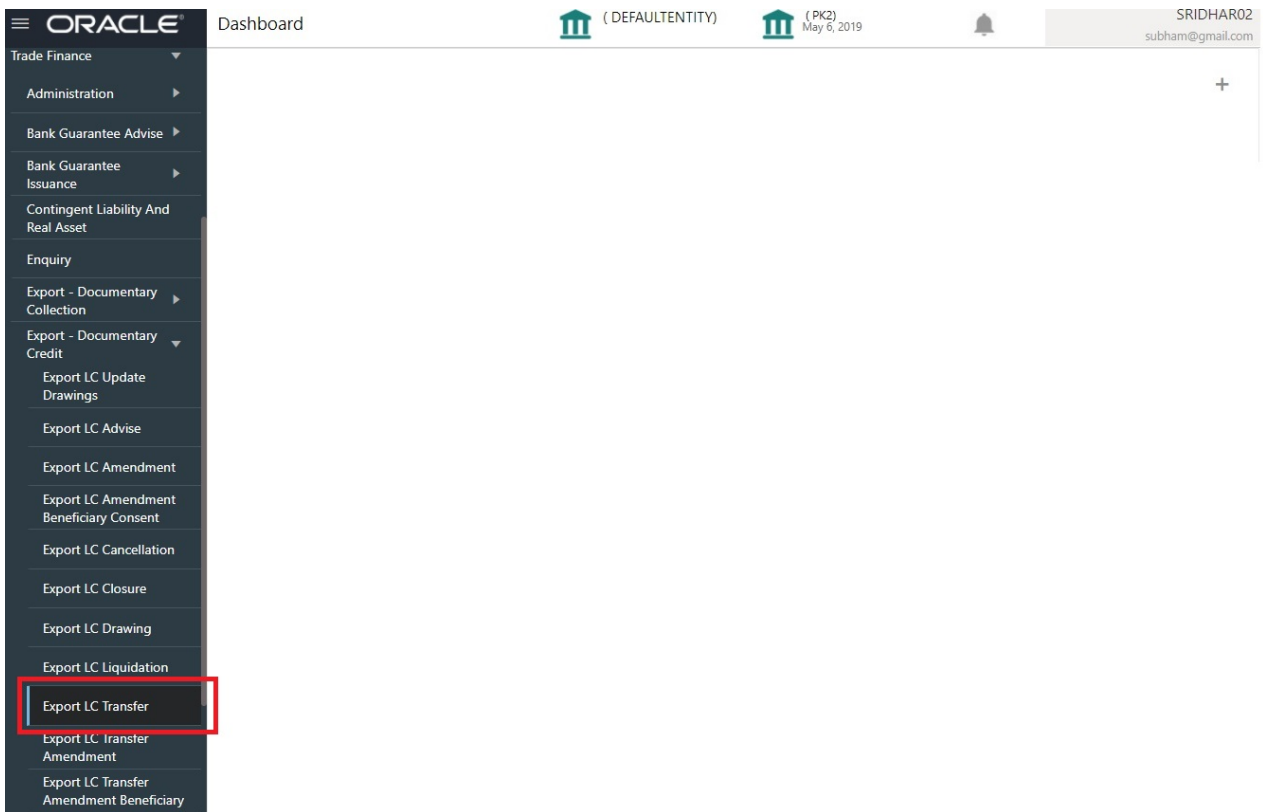
User can capture the basic details of the response, check the signature of the signatory from the advising bank and upload the related documents. On submit of the request, the customer should be notified with acknowledgment and the request should be available for an LC expert to handle in the next stage.

1. Using the entitled login credentials for Registration stage, login to the OBTFPM application.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



3. Click Trade Finance> Export - Documentary Credit> Export LC Transfer.



The Registration stage has two sections Application Details and Transfer LC Details. Let's look at the details of Registration screens below:

Application Details

Export LC Transfer

Documents Remarks Customer Instruction Common Group Messages

Application Details

Export LC Reference Number *
PK2ELAC211252504

Available Amount *
GBP £20,878.00

First Beneficiary *
001044 GOODCARE PLC

Branch
PK2-Oracle Banking Trade Finan...

Priority
Medium

Submission Mode
Desk

Transfer Amount *
GBP £1,000.00

Process Reference Number
PK2ELCT000007109

Transfer Date
May 5, 2021

Customer Reference Number
PK2ELAC211252504

Allow Substitution of Document

View LC Events

LC Details

LC Type
Sight

Advising Bank

Product Code
ELAC

Product Description
Import LC Usance Non Revolving

40A - Form of Documentary Credit
IRREVOCABLE

Form of Documentary Credit Details

20 - Documentary Credit Number *
PK2ELAC2112586TQ

23 - Reference to Pre-Advice

31C - Date of Issue
May 5, 2021

40E - Applicable Rules
UCPURR LATEST VERSION

Date of Expiry *
Aug 3, 2021

31D - Place of Expiry
xcvv

Second Beneficiary *
000322 Trade Indiv 2

39A - Percentage Credit Amount Tolerance
/

39C - Additional Amount Covered

Hold Cancel Save & Close Submit

Provide the Application Details based on the description in the following table:

Field	Description	Sample Values
Export LC Reference Number	User can search the Transfer LC Reference Number by using the LOV. User has to select the particular LC that need to be transferred. As part of LOV criteria; user can input the Transfer LC Reference Number, Beneficiary, Currency, Amount or User Reference Number.	
Available Amount	This field displays the available amount along with currency for Transfer. It must be less than or equals to LC amount.	
First Beneficiary	First Beneficiary details is defaulted from the underlying Export LC.	EMR & CO
Branch	Read only field. Branch details will be auto-populated from LC details.	203-Bank Futura -Branch FZ1
Priority	System will default the Priority as Low/Medium/High based on maintenance.	High
Submission Mode	By default the submission mode will have the value as 'Desk'. the user can change the submission mode. Desk - Request received through Desk FAX - Request received through FAX Email - Request received through Email Courier - Request received through Courier	Desk

Field	Description	Sample Values
Transfer Amount	User has to input the Export LC transfer amount. Transfer amount plus tolerance cannot be more than value available in underlying Export LC. User cannot change the currency, it will be default from the underlying Export LC.	
Process Reference Number	Read only field. Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.	
Transfer Date	System defaults the branch's current date.	
Customer Reference Number	The user can enter the Customer Reference Number, if any.	
Allow Substitution of Document	Toggle On: The bank is allowed to substitute the Export Documents received from Transferee with the documents from Beneficiary during Drawing.	

LC Details

Details in this screen displays the data from the LC issued.

The screenshot shows the 'LC Details' screen with the following fields and values:

- LC Type:** Sight
- 40A - Form of Documentary Credit:** IRREVOCABLE
- 31C - Date of Issue:** May 5, 2021
- Second Beneficiary:** 000322 Trade Indiv 2
- Advising Bank:** (Empty)
- Form of Documentary Credit Details:** (Empty)
- 40E - Applicable Rules:** UCPURR LATEST VERSION
- 39A - Percentage Credit Amount Tolerance:** /
- Product Code:** ELAC
- 20 - Documentary Credit Number:** PK2ELAC21125B6TQ
- Date of Expiry:** Aug 3, 2021
- 39C - Additional Amount Covered:** (Empty)
- Product Description:** Import LC Usance Non Revolving
- 23 - Reference To Pre-Advice:** (Empty)
- 31D - Place of Expiry:** xxvv

Provide the LC Details based on the description in the following table:

Field	Description	Sample Values
LC Type	Select the applicable LC Type from LOV The values are: <ul style="list-style-type: none"> Sight Usance Mixed 	
Advising Bank	User can enter the details if applicable. System should validate whether the Advising Bank is RMA compliant, if not system should display an error message.	
Product Code	Read only field. Product Code of the underlying Export LC is displayed.	

Field	Description	Sample Values
Product Description	Read only field. Product Description of the underlying Export LC is displayed.	
40A - Form of Documentary Credit	User can select the type of LC (Documentary Credit) as per the requirement. Default LC type is Irrevocable.	
Form of Documentary Credit Details	User can select the Documentary Credit details.	
Documentary Credit Number	Generated from the back office and displayed in the application Number.	
Reference To Pre-Advice	This field is not applicable.	
Date of Issue	Application will default the branch's current date in date of issue. User cannot change the defaulted date. Application will populate the Date of Issue field with branch date on approval if date of approval is later than date of Registration.	
Applicable Rules	Select the applicable rules for the LC. Default rule as UCP Latest Version.	
Date Of Expiry	Date of Expiry is defaulted from the underlying Export LC and user should be allowed to change the values. On change of values, relevant validations should happen. Date of Expiry of Transferred LC cannot be later than the Expiry Date of the underlying Export LC.	
Place of Expiry	Place of Expiry is defaulted from the underlying Export LC and user should be allowed to change the values. On change of values, relevant validations should happen.	
Second Beneficiary	The user can select the beneficiary for Export LC Transfer. Click the look up icon to search the beneficiary based on Party ID/Party Name. If beneficiary is not a customer of the bank, then choose WALKIN customer id and provide the beneficiary details. If beneficiary is a customer and KYC status is not valid, then system will display alert message.	
Percentage Credit Amount Tolerance	Tolerance Amount to default from the underlying Export LC and user should not be allowed to change the values.	
Additional Amount Covered	User can provide additional amount included in Export LC.	

Miscellaneous

Export LC Transfer

Documents Remarks Customer Instruction Common Group Messages

Application Details

Export LC Reference Number *
PK2ELAC211252504

Available Amount *
GBP £20,878.00

First Beneficiary *
001044 GOODCARE PLC

Branch
PK2-Oracle Banking Trade Finan...

Priority
Medium

Submission Mode
Desk

Transfer Amount *
GBP £1,000.00

Process Reference Number
PK2ELCT000007109

Transfer Date
May 5, 2021

Customer Reference Number
PK2ELAC211252504

Allow Substitution of Document

View LC Events

LC Details

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Product Description
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40A - Form of Documentary Credit
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Date of Expiry *
Aug 3, 2021

31D - Place of Expiry
xxvv

Second Beneficiary *
000322 Trade Indiv 2

39A - Percentage Credit Amount Tolerance
/

39C - Additional Amount Covered

Hold Cancel Save & Close Submit

Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Documents	User to upload the applicable documents. System displays the mandatory and optional documents. If mandatory documents are not uploaded, system should display an error on submit. The possible documents submitted under an Export LC Transfer request are:	
Remarks	Provide any additional information regarding the Beneficiary Consent. This information can be viewed by other users processing the request.	
Customer Instructions	Click to view/ input the following <ul style="list-style-type: none"> Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Checklist	Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will display an error on submit.	

Field	Description	Sample Values
Verify Signature	System displays the details of Authorized signatories. The pop up box should display the signature id, signature title and image of the signature for verification	
Action Buttons		
Submit	On submit, system will trigger acknowledgment to the customer and give confirmation message for successful submission. Task will get moved to next logical stage of Export LC Transfer. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task for working later. This option will not submit the request.	
Cancel	Cancels the Export LC Transfer Registration stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	

Scrutiny

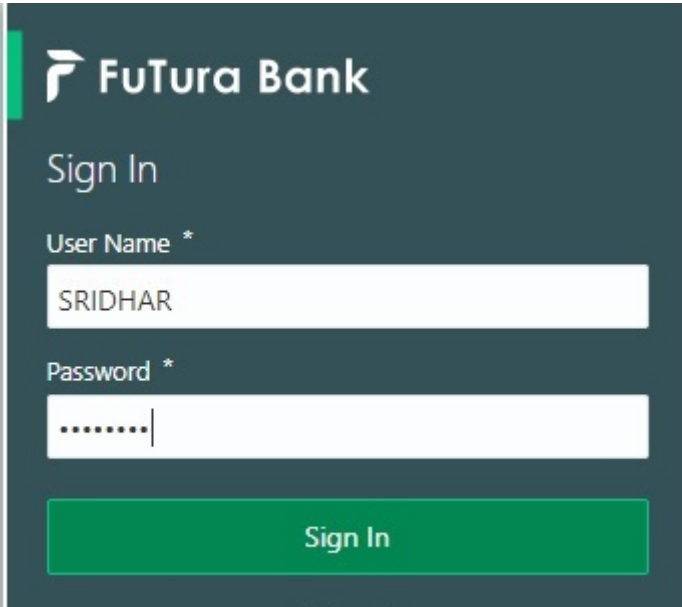
At Scrutiny stage, user can scrutinize the Export LC Transfer request. As part of Scrutiny, the user enters the basic details of the Export LC transfer request and can verify if the request can be processed further.

Non-Online Channel - Export LC Transfer request that were received at the desk will move to Scrutiny stage post successful Registration. The requests will have the details entered during the Registration stage.

Online Channel - Requests that are received via online channels like trade portal, external system and SWIFT are available directly for further processing from Scrutiny stage and available data for all data segments from Application stage to Data Enrichment stage should be auto populated.

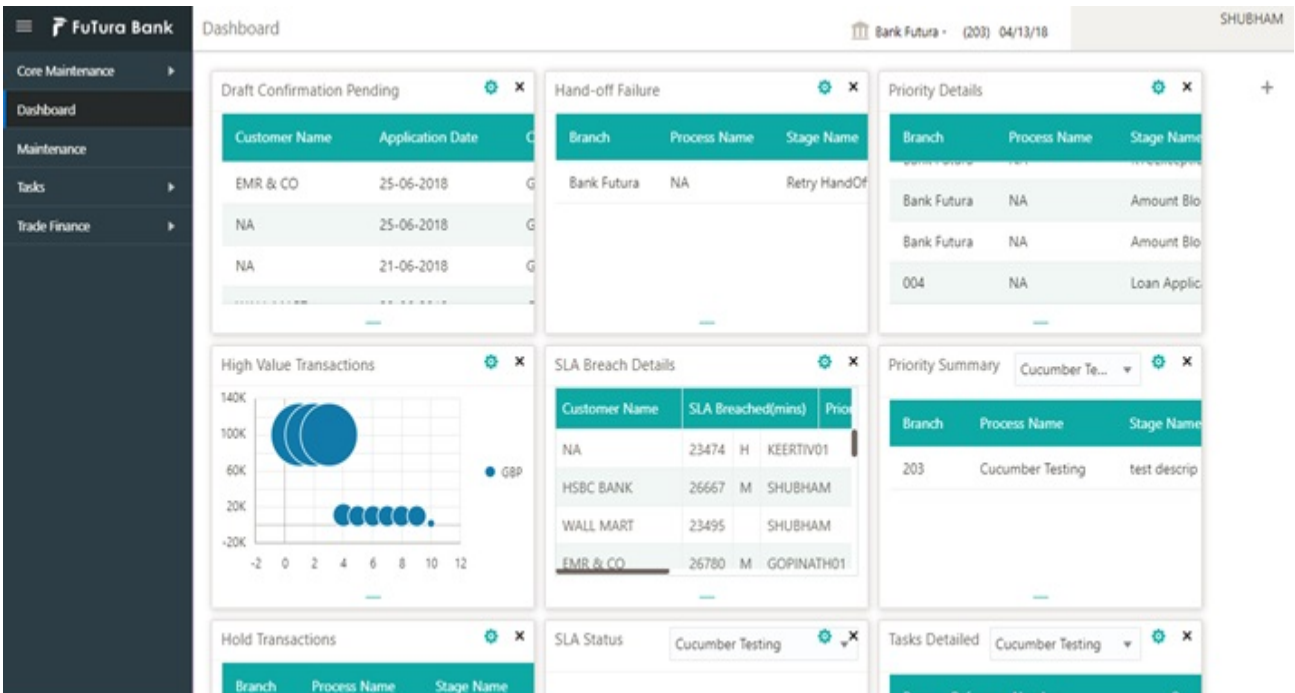
Do the following steps to acquire a task currently at Scrutiny stage:

1. Using the entitled login credentials for Scrutiny stage, login to the OBTFPM application.



The image shows the login interface for FuTura Bank. It features a dark blue header with the bank's logo and name. Below the header, the text 'Sign In' is displayed. There are two input fields: 'User Name *' containing the text 'SRIDHAR' and 'Password *' which is masked with dots. A large green button labeled 'Sign In' is positioned at the bottom of the form.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



The image displays the FuTura Bank dashboard. On the left is a dark sidebar menu with options like 'Core Maintenance', 'Dashboard', 'Maintenance', 'Tasks', and 'Trade Finance'. The main area contains several widgets:

- Draft Confirmation Pending:** A table with columns 'Customer Name', 'Application Date', and 'Status'. Data rows include 'EMR & CO' (25-06-2018), 'NA' (25-06-2018), and 'NA' (21-06-2018).
- Hand-off Failure:** A table with columns 'Branch', 'Process Name', and 'Stage Name'. Data row: 'Bank Futura', 'NA', 'Retry HandOf'.
- Priority Details:** A table with columns 'Branch', 'Process Name', and 'Stage Name'. Data rows include 'Bank Futura', 'NA', 'Amount Blo', and '004', 'NA', 'Loan Applic'.
- High Value Transactions:** A bubble chart showing transaction values on the y-axis (ranging from -20K to 140K) and a time period on the x-axis (ranging from -2 to 12). A legend indicates 'GBP'.
- SLA Breach Details:** A table with columns 'Customer Name', 'SLA Breached(mins)', and 'Priority'. Data rows include 'NA' (23474, H, KEERTIV01), 'HSBC BANK' (26667, M, SHUBHAM), 'WALL MART' (23495, SHUBHAM), and 'EMR & CO' (26780, M, GOPINATH01).
- Priority Summary:** A table with columns 'Branch', 'Process Name', and 'Stage Name'. Data row: '203', 'Cucumber Testing', 'test descrip'.
- Hold Transactions:** A table with columns 'Branch', 'Process Name', and 'Stage Name'.
- SLA Status:** A widget showing 'Cucumber Testing' with a dropdown arrow.
- Tasks Detailed:** A widget showing 'Cucumber Testing' with a dropdown arrow.

3. Click Trade Finance> Tasks> Free Tasks.

Free Tasks

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
Acquire & Edit	M	Export LC Transfer	PK2ELCT000056729	PK2ELCT000056729	Scrutiny	21-05-26	PK2	001043
Acquire & Edit	M	Import Documentary Col...	PK2IDCU000056709	PK2IDCU000056709	Handoff RetryTask	21-05-25	PK2	001044
Acquire & Edit	H	Import LC Issuance	PK2ILCI000056693	PK2ILCI000056693	Scrutiny	21-05-25	PK2	001043
Acquire & Edit		Import LC Liquidation	PK2ILCL000056690	PK2ILCL000056690	DataEnrichment	21-05-25	PK2	
Acquire & Edit		Guarantee Amendment	PK2GTEA000056688	PK2GTEA000056688	DataEnrichment	21-05-25	PK2	000153
Acquire & Edit	M	Export LC Amendment B...	PK2ELCA000056681	PK2ELCA000056681	DataEnrichment	21-05-25	PK2	001044
Acquire & Edit		Guarantee Amendment	PK2GTEA000056664	PK2GTEA000056664	DataEnrichment	21-05-25	PK2	000153
Acquire & Edit		Guarantee Issuance	PK2GTEI000056657	PK2GTEI000056657	Scrutiny	21-05-25	PK2	001044
Acquire & Edit		Export LC Advise	PK2ELCA000056654	PK2ELCA000056654	Scrutiny	21-05-24	PK2	001204
Acquire & Edit		Guarantee Issuance	PK2GTEI000056653	PK2GTEI000056653	Scrutiny	21-05-24	PK2	006217
Acquire & Edit		Guarantee Issuance	PK2GTEI000056652	PK2GTEI000056652	Scrutiny	21-05-24	PK2	006217
Acquire & Edit	M	Guarantee Advise Canc...	PK2GTAC000056651	PK2GTAC000056651	DataEnrichment	21-05-24	PK2	001044
Acquire & Edit		Export LC Advise	PK2ELCA000056648	PK2ELCA000056648	Scrutiny	21-05-24	PK2	001204
Acquire & Edit		Export LC Advise	PK2ELCA000056647	PK2ELCA000056647	Scrutiny	21-05-24	PK2	001204

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4. Select the appropriate task and click Acquire & Edit to edit the task or click Acquire to edit the task from My Tasks.

Free Tasks

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
Acquire & Edit	M	Export LC Transfer	PK2ELCT000056729	PK2ELCT000056729	Scrutiny	21-05-26	PK2	001043
Acquire & Edit	M	Import Documentary Col...	PK2IDCU000056709	PK2IDCU000056709	Handoff RetryTask	21-05-25	PK2	001044
Acquire & Edit	H	Import LC Issuance	PK2ILCI000056693	PK2ILCI000056693	Scrutiny	21-05-25	PK2	001043
Acquire & Edit		Import LC Liquidation	PK2ILCL000056690	PK2ILCL000056690	DataEnrichment	21-05-25	PK2	
Acquire & Edit		Guarantee Amendment	PK2GTEA000056688	PK2GTEA000056688	DataEnrichment	21-05-25	PK2	000153
Acquire & Edit	M	Export LC Amendment B...	PK2ELCA000056681	PK2ELCA000056681	DataEnrichment	21-05-25	PK2	001044
Acquire & Edit		Guarantee Amendment	PK2GTEA000056664	PK2GTEA000056664	DataEnrichment	21-05-25	PK2	000153
Acquire & Edit		Guarantee Issuance	PK2GTEI000056657	PK2GTEI000056657	Scrutiny	21-05-25	PK2	001044
Acquire & Edit		Export LC Advise	PK2ELCA000056654	PK2ELCA000056654	Scrutiny	21-05-24	PK2	001204
Acquire & Edit		Guarantee Issuance	PK2GTEI000056653	PK2GTEI000056653	Scrutiny	21-05-24	PK2	006217
Acquire & Edit		Guarantee Issuance	PK2GTEI000056652	PK2GTEI000056652	Scrutiny	21-05-24	PK2	006217
Acquire & Edit	M	Guarantee Advise Canc...	PK2GTAC000056651	PK2GTAC000056651	DataEnrichment	21-05-24	PK2	001044
Acquire & Edit		Export LC Advise	PK2ELCA000056648	PK2ELCA000056648	Scrutiny	21-05-24	PK2	001204
Acquire & Edit		Export LC Advise	PK2ELCA000056647	PK2ELCA000056647	Scrutiny	21-05-24	PK2	001204

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5. The acquired task will be available in My Tasks tab. Click Edit to capture responses of the registered task.

My Tasks

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number	Amount
Edit	M	Export LC Transfer	PK2ELCT000056729	PK2ELCT000056729	Scrutiny	21-05-26	PK2	001043	
Edit	M	Drawings Under Transfe...	PK2TLCD000056575	PK2TLCD000056575	KYC Exceptional approval	21-05-23	PK2	000152	
Edit		Drawings Under Transfe...	PK2TLCD000056573	PK2TLCD000056573	Registration	21-05-23	PK2	000150	
Edit		Import LC Liquidation	PK2ILCL000056570	PK2ILCL000056570	DataEnrichment	21-05-22	PK2		
Edit		Export LC Transfer Ame...	PK2ELCT000056562	PK2ELCT000056562	Registration	21-05-22	PK2	001044	
Edit	M	Export LC Transfer Ame...	PK2ELCT000056556	PK2ELCT000056556	DataEnrichment	21-05-22	PK2	001044	
Edit		Export LC Transfer Ame...	PK2ELCT000056554	PK2ELCT000056554	Registration	21-05-22	PK2	001044	
Edit	M	Export LC Transfer Ame...	PK2ELCT000056552	PK2ELCT000056552	KYC Exceptional approval	21-05-22	PK2	001044	
Edit	M	Export LC Amendment B...	PK2ELCA000056551	PK2ELCA000056551	DataEnrichment	21-05-22	PK2	001044	
Edit		Import LC Issuance	PK2ILCI000056548	PK2ILCI000056548	Scrutiny	21-05-22	PK2	001044	
Edit	M	Export LC Transfer Ame...	PK2ELCT000056498	PK2ELCT000056498	KYC Exceptional approval	21-05-21	PK2	000156	
Edit	M	Guarantee SBLC Advise...	PK2GADC000056493	PK2GADC000056493	DataEnrichment	21-05-20	PK2	001044	
Edit	M	Guarantee SBLC Advise...	PK2GADC000056467	PK2GADC000056467	AmountBlock Exception App...	21-05-20	PK2	001044	
Edit	M	Guarantee SBLC Advise...	PK2GADC000056436	PK2GADC000056436	AmountBlock Exception App...	21-05-20	PK2	001044	

Page 1 of 6 (1 - 20 of 106 items) | 1 2 3 4 5 6 >

The Scrutiny stage has three sections as follows:

- Main Details
- Availability
- Payment
- Additional Fields
- Additional Details

- Summary

Let's look at the details for Scrutiny stage. User can enter/update the following fields. Some of the fields that are already having value from Registration/online channels may not be editable.

Main Details

Main details section has three sub section as follows:

- Application Details
- Transfer LC Details

Application Details

This section provides a quick snapshot of details of LC. User can enter the details in the following fields. Some of the fields that are already having value from registration/online channels will not be editable.

Export LC Transfer - Scrutiny :: Application No: PK2ELCT000007109

Screen (1 / 6)

Main

- Availability
- Payment
- Additional Fields
- Additional Details
- Summary

Main

Application Details

Export LC Reference Number *
PK2ELAC211252504

Priority
Medium

Transfer Date
May 5, 2021

Available Amount *
GBP £20,878.00

Submission Mode
Desk

Customer Reference Number
PK2ELAC211252504

First Beneficiary *
001044 GOODCARE PLC

Transfer Amount *
GBP £1,000.00

Allow Substitution of Document

Branch
PK2-Oracle Banking Trade Finan...

Process Reference Number
PK2ELCT000007109

LC Details

LC Type
Sight

40A - Form of Documentary Credit
IRREVOCABLE

31C - Date of Issue
May 5, 2021

Second Beneficiary *
000322 Trade Indiv 2

Advising Bank

Form of Documentary Credit Details

40E - Applicable Rules
UCPURR LATEST VERSION

39A - Percentage Credit Amount Tolerance
/

Product Code
ELAC

20 - Documentary Credit Number *
PK2ELAC21125B6TQ

Date of Expiry *
Aug 3, 2021

39C - Additional Amount Covered

Product Description
Import LC Usage Non Revolving

23 - Reference To Pre-Advice

31D - Place of Expiry *
xcvv

Audit

Reject Refer Hold Cancel Save & Close Back Next

Field	Description	Sample Values
Export LC Reference Number	Read only System displays the Export LC Reference Number to be transferred.	
Available Amount	Read only - System should display the available amount along with currency for transfer.	
First Beneficiary	Read only - System displays the name of the Transferor-Applicant Name.	EMR & CO
Branch	Read only field. Branch details will be auto-populated from LC details.	203-Bank Futura -Branch FZ1
Priority	Priority to default based on priority maintenance and user should be allowed to change. Values are High, Medium and Low.	High
Submission Mode	Read only System should display the submission mode selected.	Desk
Transfer Amount	Read only -System should display the Export LC transfer amount.	
Process Reference Number	Read only field. Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.	
Transfer Date	System defaults the LC Transfer Date.	
Customer Reference Number	The user can enter the Customer Reference Number, if any.	
Allow Substitution of Document	Read only - If selected, the bank is allowed to substitute the Export Documents received from Transferee with the documents from Beneficiary.	

LC Details

Registration user can capture the changes made to the LC in this section.

Capture the response based on the description in the following table:

Field	Description	Sample Values
LC Type	Read only field. System displays the selected LC Type from LOV	
Advising Bank	User can enter the details if applicable.	
Product Code	Read only field. Product Code of the underlying Export LC is displayed.	
Product Description	Read only field. Product Description of the underlying Export LC is displayed.	
40A - Form of Documentary Credit	User can select the type of LC (Documentary Credit) as per the requirement. Default LC type is Irrevocable.	
Form of Documentary Credit Details	The Documentary Credit details.	
Documentary Credit Number	Read only - This field displays the Documentary Credit Number of the selected LC.	
Reference To Pre-Advice	This field is not applicable.	
Date of Issue	Read only field. Application will default the branch's current date in date of issue.	
Applicable Rules	User can select the applicable rules for the LC. Default rule as UCP Latest Version. In case of online request, system should auto-populate the details. User cannot change the populated value.	

Field	Description	Sample Values
Date Of Expiry	Date of Expiry is defaulted from the underlying Export LC and user should be allowed to change the values. On change of values, relevant validations should happen. In case of online request, system should auto-populate the details. User cannot change the populated value.	
Place of Expiry	Place of Expiry is defaulted from the underlying Export LC and user should be allowed to change the values. On change of values, relevant validations should happen. In case of online request, system should auto-populate the details. User cannot change the populated value.	
Second Beneficiary	This field displays the Second Beneficiary name selected for Export LC Transfer. In case of online request, system should auto-populate the details. User cannot change the populated value.	
Percentage Credit Amount Tolerance	Tolerance Amount to default from the underlying Export LC and user should not be allowed to change the values. In case of online request, system should auto-populate the details. User cannot change the populated value.	
Additional Amount Covered	User can provide additional amount included in Export LC. In case of online request, system should auto-populate the details. User cannot change the populated value.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	

Field	Description	Sample Values
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	<p>Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p>	
Overrides	Click to view the overrides accepted by the user.	
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.	
Request Clarification	On click the Request Clarification button the user can specify the clarification details for requests received online.	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	<p>Cancel the Guarantee Advice Scrutiny inputs.</p>	
Save & Close	<p>Save the information provided and holds the task for working later.</p> <p>This option will not submit the request.</p>	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	

Availability


In this section user can input the Availability details for Export LC Transfer. In case the request is received through online channel, the user can verify the details populated.

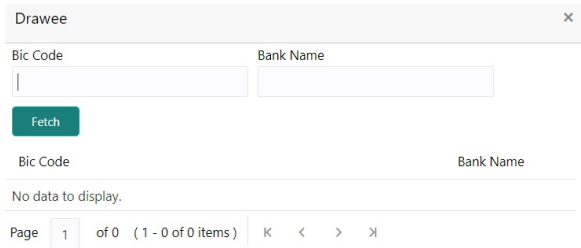

Non Online Channel - Export LC Transfer request that are received at the desk will move to scrutiny stage post successful Registration. The transaction will have the details entered during the Registration stage.

Online Channel - Requests that are received via online channels like trade portal, external system and SWIFT should be available directly for further processing in OBTFPM from scrutiny stage and available data for all data segments from Application stage to Data Enrichment stage would be auto populated.

User can change the values available in the fields based on the description in the following table:

Field	Description	Sample Values
Available With	<p>This field identifies the bank with which the credit is available.</p> <p>Online Channel - Read only</p> <p>Non Online Channel - It is an input field and user can enter the details.</p> <ul style="list-style-type: none"> If the LC is restricted to any particular bank,, search the bank with SWIFT code (BIC) or Bank Name. <div data-bbox="574 1388 1165 1579" data-label="Form"> </div> <p>On selection of the record if SWIFT code is available, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address gets defaulted.</p> <ul style="list-style-type: none"> If the LC is not restricted to any bank, provide free text - (YOURSELVES, WITH ANY BANK etc.). <p>In case of online request, system should auto-populate the details. User cannot change the populated value.</p>	

Field	Description	Sample Values
Available By	<p>This field identifies how the credit is available.</p> <p>Online Channel – Read-only. In case of online request, system should auto-populate the details. User cannot change the populated value.</p> <p>This field displays the value of 'Available By' as per the issued LC. Online Channel - Read only</p> <p>Non Online Channel - It is an input field and user can enter the details.</p> <p>Choose one of the following values from drop down, if required.</p> <ul style="list-style-type: none"> • BY NEGOTIATION • BY PAYMENT <div style="text-align: center;">  <p>Note</p> <p>If By payment is selected, payment at sight is applicable.</p> </div>	
Drafts At	<p>This field displays the details of tenor of drafts to be drawn under the documentary credit.</p> <p>In case of online request, system should auto-populate the details. User cannot change the populated value.</p>	



Field	Description	Sample Values
Drawee	<p>This field will have value only if 'Drafts at' field has values.</p> <p>Select the Drawee bank (Advising bank or Confirming bank).</p> <ul style="list-style-type: none"> Search the bank with SWIFT code (BIC) or Bank Name.  <p>On selection of the record if SWIFT code is available, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address to be defaulted.</p> <p> Note This field is mandatory if value is provided at Drafts At field.</p> <p>In case of online request, system should auto-populate the details. User cannot change the populated value.</p>	
Payment Details	<p>This field is applicable if, Available By filed has Mixed Payment or Deferred Payment.</p> <p>In case of online request, system should auto-populate the details. User cannot change the populated value.</p>	

Shipment Details

User can change the values available in the fields based on the description in the following table:

Field	Description	Sample Values
Partial Shipments	<p>This field specifies whether or not partial shipments are allowed under the documentary credit.</p> <p>In case of online request, system should auto-populate the details. User cannot change the populated value.</p>	

Field	Description	Sample Values
Transshipment	<p>This field specifies whether or not transshipment is allowed under the documentary credit. Details should be defaulted from the underlying Transfer LC. Select the appropriate value from the drop down. Available values are:</p> <ul style="list-style-type: none"> • ALLOWED • CONDITIONAL • NOT ALLOWED <p>In case of online request, system should auto-populate the details. User cannot change the populated value.</p>	
Place Of Taking In Charge	<p>This field specifies the place of taking in charge (in case of a multi-modal transport document), the place of receipt (in case of a road, rail or inland waterway transport document or a courier or expedited delivery service document), the place of dispatch or the place of shipment to be indicated on the transport document.</p> <p>Details should be defaulted from the underlying Transfer LC.</p>	
Port Of Loading	<p>This field specifies the port of discharge or airport of destination to be indicated on the transport document.</p> <p>Details should be defaulted from the underlying Transfer LC.</p> <p>In case of online request, system should auto-populate the details. User cannot change the populated value.</p>	
Port Of Discharge	<p>This field specifies the port of discharge or airport of destination to be indicated on the transport document.</p> <p>Details should be defaulted from the underlying Transfer LC.</p> <p>In case of online request, system should auto-populate the details. User cannot change the populated value.</p>	
Place Of Final Destination	<p>This field specifies the final destination or place of delivery to be indicated on the transport document.</p> <p>Details should be defaulted from the underlying Transfer LC.</p> <p>In case of online request, system should auto-populate the details. User cannot change the populated value.</p>	

Field	Description	Sample Values
Latest Date Of Shipment	<p>Provide the latest date for loading on board/ dispatch/taking in charge.</p>  <p>Note: This field is alternate to Shipment Period. Latest date of shipment or shipment period must have value and in case value is missing, application will display an error message.</p> <p>Latest shipment date should be on or before expiry date and should not be before the branch date.</p> <p>In case of online request, system should auto-populate the details. User cannot change the populated value.</p>	
Shipment Period	<p>Online Channel – Read- only</p> <p>Non Online Channel - Provide the details of Shipment.</p>  <p>Note: This field is alternate to Latest Date of Shipment. Latest date of shipment or shipment period must have value and in case value is missing, application will display an error message.</p> <p>In case of online request, system should auto-populate the details. User cannot change the populated value.</p>	

Description Of Goods And Or Services

This field contains a description of the goods and/or services of the issued LC and can be changed if required. Provide the Shipment Details based on the description in the following table:

Field	Description	Sample Values
INCO Terms	<p>Details should be defaulted from the underlying LC. User should be able to change the details.</p> <p>In case of online request, system should auto-populate the details. User cannot change the populated value.</p>	
INCO Description	Respective INCO term Description will be defaulted as per the INCO Terms code.	
+ Icon	Click + icon to add goods details.	

Field	Description	Sample Values
Goods Code	Goods Details is defaulted from the underlying LC and user can change the values. Click look up icon to select the goods code. Once you select goods code, value will populate in Goods Type and Goods Description.	
Goods Type	The goods type is defaulted from the underlying LC and user can change the values.	
Goods Description	The goods description is defaulted from the underlying Transfer LC and user can change the values.	
No of Units	The system displays the original number of units from the underlying Transfer LC.	
Price per Unit	The system displays the original price per unit from the underlying Transfer LC.	
Available Units	The system should display the available units of the goods. User should not be allowed to update this.	
No of Units	Specify the number of units. The system should display an error message if the value for units transferred is more than the units available.	
Price per Unit	Specify the price per unit is not more than the original price per unit.	
Total Amount	System to calculate the total price In case of online request, the system should populate the total amount from incoming request. System should validate that the total amount is equal to the value of the transaction (LC/ Collection).	
Action	Click Delete icon to remove goods details. Click Edit icon to edit the good details.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	

Field	Description	Sample Values
Documents	<p>Click the Documents icon to Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p>	
Remarks	<p>Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p>	
Overrides	Click to view the overrides accepted by the user.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.	
Request Clarification	On click the Request Clarification button the user can specify the clarification details for requests received online.	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	Cancel the Guarantee Advice Scrutiny inputs.	

Field	Description	Sample Values
Save & Close	Save the information provided and holds the task for working later. This option will not submit the request.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	
Back	Click of Back to move the task to the previous segment.	


Payment Details

In this section, user can input the Payment details for an Export LC Transfer. As part of Scrutiny, the user can enter the Payment details of an Export LC Transfer request.

No fields other than the highlighted ones can be edited.

Field	Description	Sample Values
Special Payment Conditions for Beneficiary	Online and Non online Channels - If any special payment condition has to be provided to beneficiary, the details for the same must be captured in this field. In case of online request, system should auto-populate the details. User cannot change the populated value.	

Field	Description	Sample Values
Special Payment Conditions for receiving Bank	<p>Online and Non online Channels -</p> <p>If any special payment condition has to be provided to receiving bank, the details for the same must be captured in this field. This field specifies special payment conditions applicable to the receiving bank without disclosure to the beneficiary, for example, post-financing request/ conditions for receiving bank only.</p> <p>In case of online request, system should auto-populate the details. User cannot change the populated value.</p>	
Period for Presentation	<p>Online Channel - Read only.</p> <p>Non Online Channel –</p> <p>If the period of presentation is based on any event other than shipment, then you can capture the event name in text along with the number of days in number.</p> <p>In case of online request, system should auto-populate the details. User cannot change the populated value.</p>	
Confirmation Instructions	<p>Online Channel - Read only.</p> <p>Non Online Channel – Select the confirmation instruction for the LC from the available LOV values – CONFIRM, MAY ADD, WITHOUT.</p> <p>Applicable only if field 49 - confirmation instruction is 'confirm' or 'may add'. You can search through LOV, Party type with banks should only be displayed in LOV. The system must display the following:</p> <p>a) SWIFT code (if available),</p> <p>b) Name and address of the bank</p> <p>On selection of the record, if SWIFT code is available then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address to be defaulted.</p> <p>In case of online request, system should auto-populate the details. User cannot change the populated value.</p>	

Field	Description	Sample Values
Requested Confirmation Party	<p>Online and Non Online Channels - Provide requested confirmation party details. If the Requested Confirmation Party has the value as "Others" then appropriate value must be selected from the LOV.</p> <div style="text-align: center;">  <p>Note</p> </div> <p>This field is applicable only for LC Type - Confirmed or May Add.</p> <p>In case of online request, system should auto-populate the details. User cannot change the populated value.</p>	
Reimbursing Bank	<p>If reimbursing bank is applicable user must update the field.</p> <p>Online Channel - Update the details received. Non Online Channel - Search through LOV. Party type with banks will be displayed in LOV.</p> <ul style="list-style-type: none"> • SWIFT code (if available), • Name and address of the bank <p>On selection of the record if SWIFT code is available, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address to be defaulted.</p> <p>In case of online request, system should auto-populate the details. User cannot change the populated value.</p>	
Advise Through Bank	<p>Online Channel – User can update the details received.</p> <p>Non-Online Channel - Search through LOV. Party type with banks must be displayed in LOV.</p> <ul style="list-style-type: none"> • SWIFT code (if available) • Name and address of the bank <p>On selection of the record if SWIFT code is available, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address to be defaulted.</p> <p>In case of online request, system should auto-populate the details. User cannot change the populated value.</p>	

Field	Description	Sample Values
Instructions to P/A/N Bank	<p>Online Channel - User can update details received.</p> <p>Non Online Channel - Provide the details in this field.</p> <p>In case of online request, system should auto-populate the details. User cannot change the populated value.</p>	
Sender to Receiver Information	<p>Online Channel - User can update details received.</p> <p>Non Online Channel - Provide details (FFT).</p>	
Charges	<p>Online Channel - User can update details received.</p> <p>Non Online Channel - Provide details (FFT).</p> <p>In case of online request, system should auto-populate the details. User cannot change the populated value.</p>	

Action Buttons

Use action buttons based on the description in the following table:

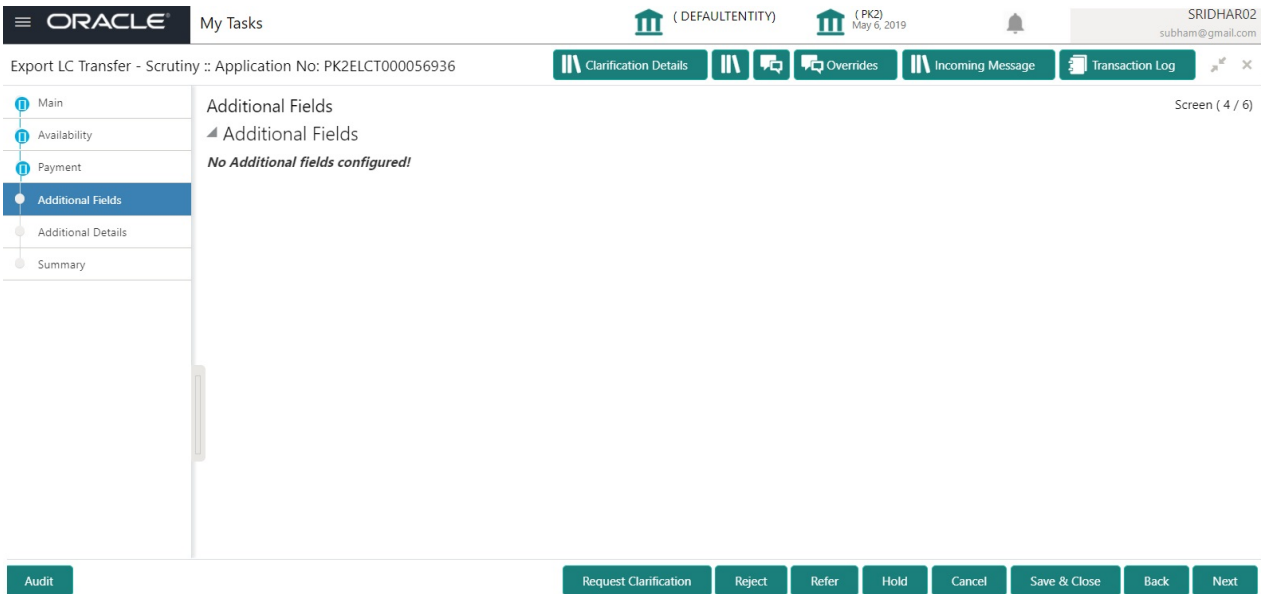
Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	<p>Click the Documents icon to Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p>	
Remarks	<p>Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p>	
Overrides	Click to view the overrides accepted by the user.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.	
Request Clarification	On click the Request Clarification button the user can specify the clarification details for requests received online.	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	<p>Cancel the Guarantee Advice Scrutiny inputs.</p>	
Save & Close	<p>Save the information provided and holds the task for working later.</p> <p>This option will not submit the request.</p>	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	
Back	<p>Click of Back to move the task to the previous segment.</p>	

Additional Fields

In this section, the user can input in the additional fields implemented by the bank for Export LC Transfer .

Any user defined fields maintained at the bank level should be available in this Additional field details.



Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents icon to Upload the required documents. Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.	
Request Clarification	On click the Request Clarification button the user can specify the clarification details for requests received online.	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	<p>Cancel the Guarantee Advice Scrutiny inputs.</p>	
Save & Close	<p>Save the information provided and holds the task for working later.</p> <p>This option will not submit the request.</p>	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	
Back	<p>Click of Back to move the task to the previous segment.</p>	

Additional Details

In the Additional Details section, the user can verify/input/update the additional details Data Segment of the Export LC Transfer.

The various additional details should be available as tile. Each tile can be selected and the respective screen will open for the user to capture details.

This is a multi-grid section with facility to attach more than one line.

Charge Details

Landing on the additional tab, the default commission, charges and tax if any will get populated.

If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

Charge Details are auto-populated from the back-end system.

Component	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
LCADVBC	GBP	£800.00		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		PK20010440017
LCEXADV	GBP	£50.00		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		PK20010440017

Field	Description	Sample Values
Component	Charge Component type.	
Currency	Defaults the currency in which the charges have to be collected.	

Field	Description	Sample Values
Amount	An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Modified Amount	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	
Billing	<p>If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can not select/de-select the check box if it is de-selected by default.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Defer	<p>If charges have to be deferred and collected at any future step, this check box has to be selected.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.</p>	
Waive	<p>If charges have to be waived, this check box has to be selected.</p> <p>Based on the customer maintenance, the charges should be marked for Billing or for Defer.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary	
Settlement Account	Details of the settlement account.	

Commission Details

If default commission is available under the product, it should be defaulted here with values.

Commission Details

Component	Rate	Modified	Currency	Amount	Modified	Defer	Waive	Charge Party	Settlement Account
No data to display.									
Page 1 (0 of 0 items) < 1 >									

Field	Description	Sample Values
Component	Select the commission component	
Rate	<p>Defaults from product. User can change the rate, if required.</p> <p>The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate.</p> <p>If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.</p>	
Currency	Defaults the currency in which the commission needs to be collected.	
Amount	<p>An amount that is maintained under the product code defaults in this field.</p> <p>The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate, but not the commission amount directly. The amount gets modified based on the rate changed and the new amount is calculated in back office based on the new rate and is populated in OBTFPM.</p> <p>If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.</p>	
Modified Amount	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Billing	If charges/commission is handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	

Field	Description	Sample Values
Waive	Select the check box to waive charges/ commission. Based on the customer maintenance, the charges/commission can be marked for Billing or Defer. If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary	
Settlement Account	Details of the Settlement Account.	

Tax Details

The tax component is calculated based on the commission. The tax component defaults if maintained in the product level. The user cannot update tax detail and any change in Tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

Tax Details

Component	Currency	Amount	Billing	Defer	Settlement Account
No data to display.					

Field	Description	Sample Values
Component	Tax Component type	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Settlement Account	Details of the settlement account.	
Charges From Beneficiary	Detail of charges to be collected from beneficiary.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	

Field	Description	Sample Values
Documents	<p>Click the Documents icon to Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p>	
Remarks	<p>Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p>	
Overrides	Click to view the overrides accepted by the user.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.	
Request Clarification	On click the Request Clarification button the user can specify the clarification details for requests received online.	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	Cancel the Guarantee Advice Scrutiny inputs.	

Field	Description	Sample Values
Save & Close	Save the information provided and holds the task for working later. This option will not submit the request.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment..	
Back	Click of Back to move the task to the previous segment.	

Summary

User can review the summary of details updated for Export LC Transfer. The user can see the summary tiles. The tiles should display a list of important fields with values. User can drill down from summary Tiles into respective data segments.

Export LC Transfer - Scrutiny :: Application No: PK2ELCT000058480

Summary

Main	Availability	Payment	Additional Fields
Form of LC : IRREVOCABLE Submission Mode : Desk Date of Issue : 2019-05-06	Available With : any Available By : NEGOTIATION Port of Loading : port B Port of Discharge : port C	Period of Present. : Confirmation Instr. : WITHOUT	Click here to view : Additional fields
Revolving Details	Commission, Charges and Taxes		
Revolving : N Revolving In : Revolving Frequency :	Charge : GBP50 Commission : Tax : Block Status : Not Initia		

Audit Reject Refer Hold Cancel Save & Close Back Next Submit

Tiles Displayed in Summary

- Main Details - User can view the application details details and Export LC details. User can modify the details, if required.
- Availability - User can view already captured availability and shipment details. User can modify the details, if required.
- Payments - User can view all details related to payments. User can modify the details, if required.
- Additional Fields: Banks can configure the additional fields during implementation.
- Commission, Charges and Taxes: User can see the details provided for charges. User should be able to update the details if required.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents icon to Upload the required documents. Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.	
Request Clarification	On click the Request Clarification button the user can specify the clarification details for requests received online.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	

Field	Description	Sample Values
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Cancel	Cancel the Guarantee Advice Scrutiny inputs.	
Save & Close	Save the information provided and holds the task for working later. This option will not submit the request.	
Back	Click of Back to move the task to the previous segment.	
Submit	On Submit, system validates all mandatory field values and the task should move to the next logical stage. If the user submits without visiting other mandatory hops, then error message should be displayed and force the user to visit mandatory tabs/update mandatory fields.	

Data Enrichment

As a part of Data Enrichment stage, User can enter/update basic details of the incoming request.

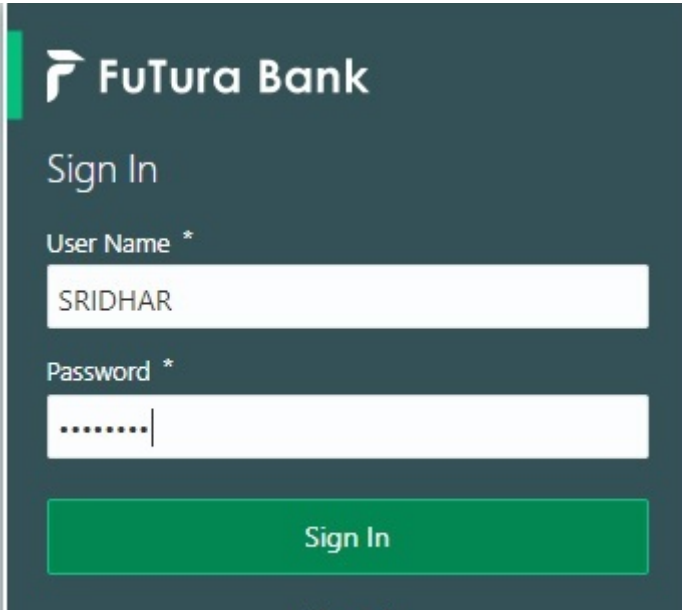
Non Online Channel - Export LC Transfer request that were received at the desk will move to DE stage post successful registration and scrutiny stage. The transaction will have the details entered during the registration/scrutiny stage.

Online Channel - Request that are received via online channels like trade portal, external system and SWIFT are available directly for further processing in OBTFPM from scrutiny stage and available data for all data segments from Application stage to Data Enrichment stage would be auto populated.

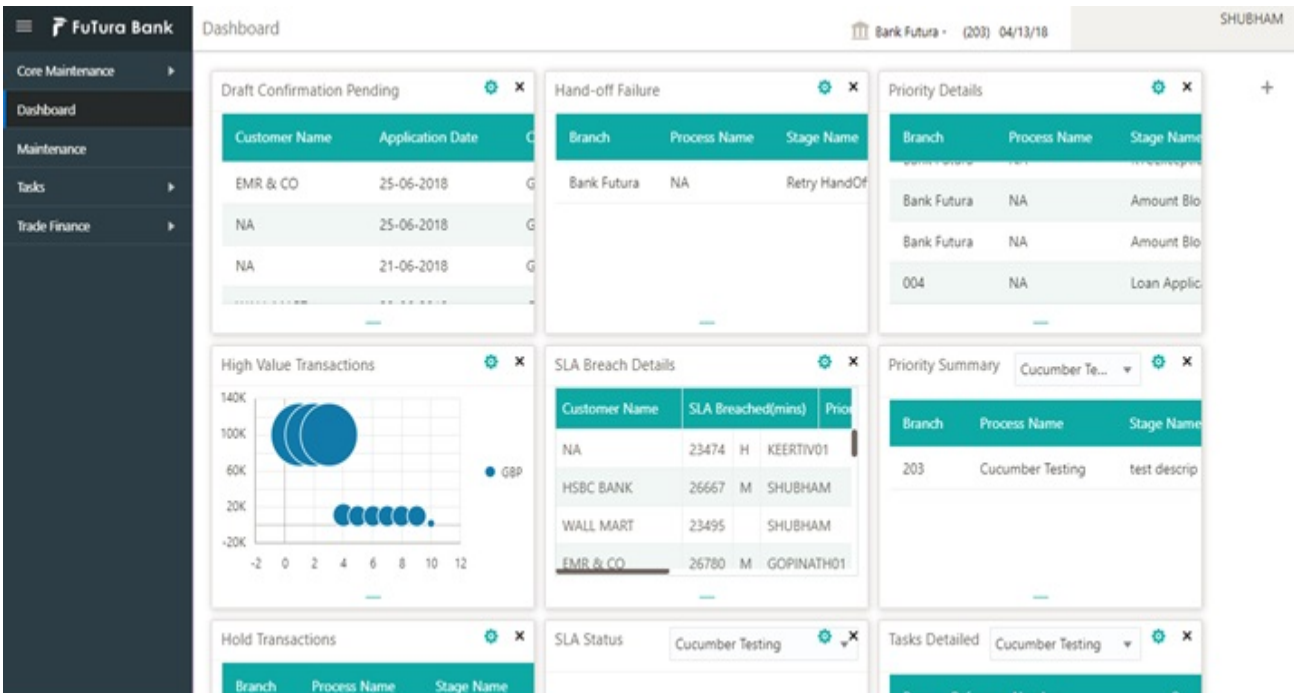
The user can select the respective field and will be allowed to edit/update the field.

Do the following steps to acquire a task at Data Enrichment stage:

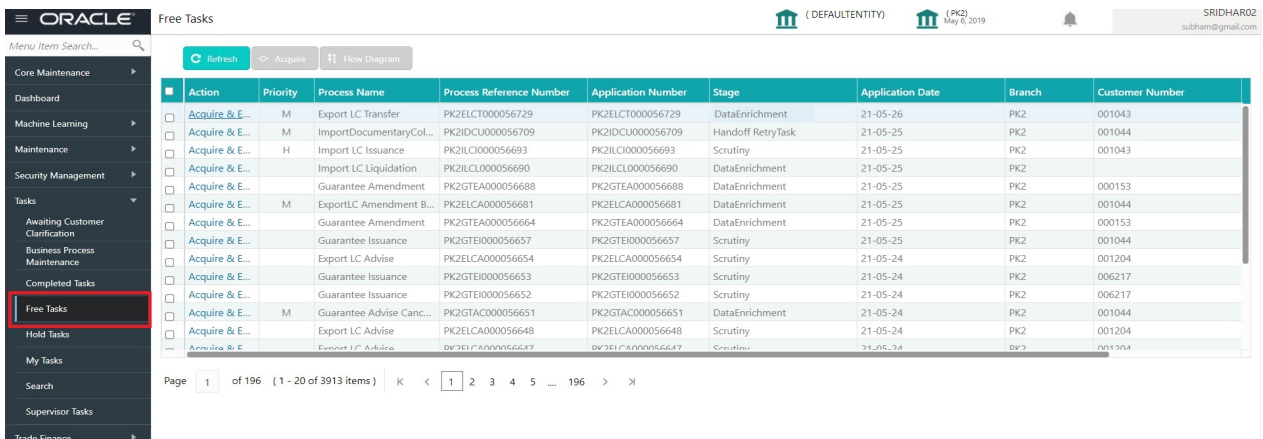
1. Using the entitled login credentials for Data Enrichment stage, login to the OBTFPM application.



2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



3. Click Trade Finance> Tasks> Free Tasks.



4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks** tab.

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
<input checked="" type="checkbox"/> Acquire & E...	M	Export LC Transfer	PK2ELCT000056729	PK2ELCT000056729	DataEnrichment	21-05-26	PK2	001043
<input type="checkbox"/> Acquire & E...	M	Import DocumentaryCol...	PK2IDCU000056709	PK2IDCU000056709	Handoff RetryTask	21-05-25	PK2	001044
<input type="checkbox"/> Acquire & E...	H	Import LC Issuance	PK2ILCI000056693	PK2ILCI000056693	Scrutiny	21-05-25	PK2	001043
<input type="checkbox"/> Acquire & E...		Import LC Liquidation	PK2ILCL000056690	PK2ILCL000056690	DataEnrichment	21-05-25	PK2	
<input type="checkbox"/> Acquire & E...		Guarantee Amendment	PK2GTEA000056688	PK2GTEA000056688	DataEnrichment	21-05-25	PK2	000153
<input type="checkbox"/> Acquire & E...	M	Export LC Amendment B...	PK2ELCA000056681	PK2ELCA000056681	DataEnrichment	21-05-25	PK2	001044
<input type="checkbox"/> Acquire & E...		Guarantee Amendment	PK2GTEA000056664	PK2GTEA000056664	DataEnrichment	21-05-25	PK2	000153
<input type="checkbox"/> Acquire & E...		Guarantee Issuance	PK2GTEI000056657	PK2GTEI000056657	Scrutiny	21-05-25	PK2	001044
<input type="checkbox"/> Acquire & E...		Export LC Advise	PK2ELCA000056654	PK2ELCA000056654	Scrutiny	21-05-24	PK2	001204
<input type="checkbox"/> Acquire & E...		Guarantee Issuance	PK2GTEI000056653	PK2GTEI000056653	Scrutiny	21-05-24	PK2	006217
<input type="checkbox"/> Acquire & E...		Guarantee Issuance	PK2GTEI000056652	PK2GTEI000056652	Scrutiny	21-05-24	PK2	006217
<input type="checkbox"/> Acquire & E...	M	Guarantee Advise Canc...	PK2GTAC000056651	PK2GTAC000056651	DataEnrichment	21-05-24	PK2	001044
<input type="checkbox"/> Acquire & E...		Export LC Advise	PK2ELCA000056648	PK2ELCA000056648	Scrutiny	21-05-24	PK2	001204

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to provide input for Data Enrichment stage.

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number	Amount
<input checked="" type="checkbox"/> Edit	M	Export LC Transfer	PK2ELCT000056729	PK2ELCT000056729	DataEnrichment	21-05-26	PK2	001043	
<input type="checkbox"/> Edit	M	Drawings Under Transfe...	PK2TLCD000056575	PK2TLCD000056575	KYC Exceptional approval	21-05-23	PK2	000152	
<input type="checkbox"/> Edit		Drawings Under Transfe...	PK2TLCD000056573	PK2TLCD000056573	Registration	21-05-23	PK2	000150	
<input type="checkbox"/> Edit		Import LC Liquidation	PK2ILCL000056570	PK2ILCL000056570	DataEnrichment	21-05-22	PK2		
<input type="checkbox"/> Edit		Export LC Transfer Ame...	PK2ELCT000056562	PK2ELCT000056562	Registration	21-05-22	PK2	001044	
<input type="checkbox"/> Edit	M	Export LC Transfer Ame...	PK2ELCT000056556	PK2ELCT000056556	DataEnrichment	21-05-22	PK2	001044	
<input type="checkbox"/> Edit		Export LC Transfer Ame...	PK2ELCT000056554	PK2ELCT000056554	Registration	21-05-22	PK2	001044	
<input type="checkbox"/> Edit	M	Export LC Transfer Ame...	PK2ELCT000056552	PK2ELCT000056552	KYC Exceptional approval	21-05-22	PK2	001044	
<input type="checkbox"/> Edit	M	Export LC Amendment B...	PK2ELCA000056551	PK2ELCA000056551	DataEnrichment	21-05-22	PK2	001044	
<input type="checkbox"/> Edit		Import LC Issuance	PK2ILCI000056548	PK2ILCI000056548	Scrutiny	21-05-22	PK2	001044	
<input type="checkbox"/> Edit	M	Export LC Transfer Ame...	PK2ELCT000056498	PK2ELCT000056498	KYC Exceptional approval	21-05-21	PK2	000156	
<input type="checkbox"/> Edit	M	Guarantee SBLC Advise...	PK2GADC000056493	PK2GADC000056493	DataEnrichment	21-05-20	PK2	001044	
<input type="checkbox"/> Edit	M	Guarantee SBLC Advise...	PK2GADC000056467	PK2GADC000056467	AmountBlock Exception App...	21-05-20	PK2	001044	
<input type="checkbox"/> Edit	M	Guarantee SBLC Advise...	PK2GADC000056436	PK2GADC000056436	AmountBlock Exception App...	21-05-20	PK2	001044	

The Data Enrichment stage has following sections as follows:

- Main Details
- Availability
- Payment
- Documents and Conditions
- Additional Fields
- Advices
- Additional Details
- Settlement Details
- Summary

Let's look at the details for Data Enrichment stage. User must be able to enter/update the following fields. Some of the fields that are already having value from Scrutiny/Online channels may not be editable.

Main Details

ORACLE My Tasks (DEFAULTENTITY) (PK2) May 6, 2019 SRIDHAR02 : subham@gmail.com

Export LC Transfer - DataEnrichment :: Application No: PK2ELCT000058480

Main

Application Details

Export LC Reference Number *
PK2ECCT19126ADW8

Available Amount *
GBP £10,000.00

First Beneficiary *
001044 GOODCARE PLC

Branch
PK2-FLEXCUBE UNIVERSAL BANK

Priority
Medium

Submission Mode
Desk

Transfer Amount *
GBP £1,000.00

Process Reference Number
PK2ELCT000058480

Transfer Date
May 6, 2019

Customer Reference Number
PK2ECCT19126ADW8

Allow Substitution of Document

LC Details

LC Type
Sight

Advising Bank

Product Code
ECCT

Product Description
Export LC for Transfer

40A - Form of Documentary Credit
IRREVOCABLE

Form of Documentary Credit Details

20 - Documentary Credit Number *
PK2ECCT19126AMDL

23 - Reference To Pre-Advice

31C - Date of Issue
May 6, 2019

40E - Applicable Rules
UCP LATEST VERSION

Date of Expiry *
Oct 24, 2019

31D - Place of Expiry *
NVC

Second Beneficiary *
006214 Good Health

39A - Percentage Credit Amount Tolerance
/

39C - Additional Amount Covered

Audit Reject Refer Hold Cancel Save & Close Back Next

Application Details

Field	Description	Sample Values
Export LC Reference Number	Read only. System displays the Export LC Reference Number to be transferred.	
Available Amount	Read only. Displayed as available from earlier stages.	
First Beneficiary	Read only. Displayed as available from earlier stages.	EMR & CO
Branch	Read only. Branch details will be auto-populated from LC details.	203-Bank Futura -Branch FZ1
Priority	Priority to default based on priority maintenance and user should be allowed to change. Values are High, Medium and Low.	High
Submission Mode	Read only. Displayed as available from earlier stages.	Desk
Transfer Amount	Read only. Displayed as available from earlier stages.	
Process Reference Number	Read only. Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.	

Field	Description	Sample Values
Transfer Date	Read only System should default the branch's current date	
Customer Reference Number	The user can enter the Customer Reference Number, if any.	
Allow Substitution of Document	Read only - If selected, the bank is allowed to substitute the Export Documents received from Transferee with the documents from Beneficiary.	
First Beneficiary	Read only - Displayed as available from earlier stages.	EMR & CO
Branch	Read only field. Branch details will be auto-populated from LC details.	203-Bank Futura -Branch FZ1
Process Reference Number	Read only field. Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.	
Priority	Read only - Priority default based on priority maintenance and user should be allowed to change. Values are High, Medium and Low.	High
Submission Mode	Read only Displayed as available from earlier stages.	Desk
Customer Reference Number	The user can enter the Customer Reference Number, if any.	
Allow Substitution of Document	Read only. If the field is checked, the bank is allowed to substitute the Export Documents received from Transferee with the documents from Beneficiary.	

LC Details

Field	Description	Sample Values
LC Type	Read only field. The value used for LC Type as per the latest LC details should be displayed.	
Advising Bank	The Advising Bank as per the latest LC details should be displayed.	
Product Code	Read only field. Product Code of the underlying Export LC is displayed.	
Product Description	Read only field. Product Description of the underlying Export LC is displayed.	
40A - Form of Documentary Credit	User can select the type of LC (Documentary Credit) as per the requirement. Default LC type is Irrevocable. In case of online request, system should auto-populate the details. User cannot change the populated value.	
Form of Documentary Credit Details	The Documentary Credit details.	
Documentary Credit Number	Read only - This field displays the Documentary Credit Number of the selected LC.	
Reference To Pre-Advice	This field is not applicable.	
Date of Issue	Read only field. Application will default the branch's current date in date of issue.	
Applicable Rules	User can select the applicable rules for the LC. Default rule as UCP Latest Version. In case of online request, system should auto-populate the details. User cannot change the populated value.	
Date Of Expiry	The expiry date as per the latest LC details should be displayed.	
Place of Expiry	The place of expiry as per the latest LC details should be displayed.	
Second Beneficiary	Beneficiary name selected for Export LC Transfer to be displayed. In case of online request, system should auto-populate the details. User cannot change the populated value.	

Field	Description	Sample Values
Percentage Credit Amount Tolerance	Tolerance Amount to default from the underlying Export LC and user be allowed to change the values. In case of online request, system should auto-populate the details. User cannot change the populated value.	
Additional Amount Covered	User can provide additional amount included in Export LC. In case of online request, system should auto-populate the details. User cannot change the populated value.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to see the documents uploaded.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. 	
Save & Close	Save the information provided and holds the task for working later. This option will not submit the request.	
Cancel	Cancel the Scrutiny stage inputs.	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	

Availability

DE user, can update/review the Availability details for Export LC Transfer.

DE user can select the respective field and should be allowed to edit/update the field. DE user can change only some of the fields received from online channels.

The screenshot displays the Oracle system interface for 'Export LC Transfer - DataEnrichment'. The application number is PK2ELCT000058480. The user is SRIDHAR02. The interface is divided into several sections:

- Availability Details:** Fields include '41a-Available with' (any), '41a-Available By' (BY NEGOTIATION), '42C-Drafts At', and '42a-Drawee'.
- Shipment Details:** Fields include '43P-Partial Shipments' (ALLOWED), '43T-Transshipment' (ALLOWED), '44A-Place of Taking in Charge' (port A), '44E-Port of Loading' (port B), '44F-Port of Discharge' (port C), '44B-Place of Final Destination' (port D), '44C-Latest Date of Shipment' (Jul 18, 2019), and '44D-Shipment Period'.
- 45A Description of Goods and/or Services:** Fields include 'INCO Terms' (CIF) and 'INCO Terms Description' (Cost, Insurance and Freight (named de...)).
- Goods Table:** A table with columns: Goods Code, Goods Type, Goods Description, No of Units, Price per Unit, Available Units, Number of Units, Price per Unit, Total Amount, and Action. It lists two goods: COTTON (50 units, £100.00) and MACHINI (50 units, £100.00).

At the bottom, there are buttons for 'Reject', 'Refer', 'Hold', 'Cancel', 'Save & Close', 'Back', and 'Next'.

For Field Descriptions, refer to [Availability](#).

Payments

DE user can verify and enter the basic details available in the Export LC Transfer request.

The screenshot shows the Oracle Payments interface for an Export LC Transfer request (Application No: PK2ELCT000058480). The 'Payment' section is active, displaying various fields for payment details:

- 49G-Special Payment conditions for beneficiary**: Text input field.
- 49H-Special Payment conditions for receiving bank**: Text input field.
- 48-Period for Presentation**: Text input field.
- 49-Confirmation Instructions ***: Dropdown menu with 'WITHOUT' selected.
- 58A - Requested Confirmation Party**: Text input field.
- 58A - Requested Confirmation Party**: Text input field.
- 53A - Reimbursing Bank**: Text input field with a search icon.
- 57A-Advise Through Bank**: Text input field with a search icon.
- 78-Instructions to P/A/N Bank**: Text input field with a search icon.
- 72-Sender to Receiver Information**: Text input field with a search icon.
- 71D - Charges**: Text input field.

Navigation buttons at the bottom include: Audit, Reject, Refer, Hold, Cancel, Save & Close, Back, Next.

Refer to [Payments](#).

Documents and Conditions

User can enter/ update Documents and conditions details for Export LC Transfer. The below fields can be modified in DE stage.

- Document Details
- Additional Conditions.

The screenshot shows the Oracle Payments interface for an Export LC Transfer request (Application No: PK2ELCT000058515). The 'Documents and Conditions' section is active, displaying 'Document Details' and 'Additional Conditions'.

Document Details Table:

Code	Name	Copy	Original	Description
All				CLEAN AIR WAYBILLS CONSIGNED TO APPLICANT, NOTIFY APPLICANT, MARKED F73 COLLECT / INDICATING THIS CREDIT NUMBER.
IN				Insurance Policy/Certificate issued for 110 percent of invoice-value, duly endorsed, covering x
IN				Commercial invoice, duly signed
M				COPY OF FAX/TELEX ADVISING APPLICANT PARTICULARS OF SHIPMENT INCLUDING B/L NO. AND THE DATE, VESSEL NAME AND NATIONALITY ETA, AND ETD, TOTAL AMOUNT OF CONLK
OT				Beneficiary's declaration stating that 1/3 original Bill of Lading has been sent simultaneously with despatch of goods by DHL to notify

Page 1 of 1 (1-5 of 5 items) | Navigation: < 1 >

Additional Conditions Table:

Select	FFT Code	FFT Description
<input type="checkbox"/>	FFT2	FFTDDESCRIPTION2mod

Navigation buttons at the bottom include: Audit, Reject, Refer, Hold, Cancel, Save & Close, Back, Next.

Document Details

Documents details should default from underlying LC. If Substitute documents allowed is checked, system to give a warning message” Substitution of Documents allowed, please verify the documents”

Online Channel - System will default the details received in the Description column. Based on the details populated, user can pick corresponding values for document code, originals and copy.

Non Online Channel - User can further edit (add or remove) the documents or document description as per requirement. Application will display an alert message, if both 'Bill Of lading' and 'Airway Bill' are chosen. Non Online Channel - User can further edit (add or remove) the documents or document description as per requirement. Application will display an alert message, if both 'Bill Of lading' and 'Airway Bill' are chosen..

Additional Conditions

Online Channel - System will default the details received in the description column. System will parse the additional conditions required field into multiple line items based on line de-limiter (+) and shall populate each line item as a separate description. User can read the description and make any changes required to the description, also must be able to add more conditions.

Non Online Channel - User can use FFT to capture additional conditions and can edit the description populated from FFT. User should also be able to add additional FFT.

Documents and Checklist:

Documents: No documents are required for upload in this screen.

Checklist: User to verify if standard clauses are added to Additional conditions.

Remarks: User should be able to capture remarks as well as see remarks made in the earlier screens/stages

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to see the documents uploaded.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.	
Save & Close	Save the information provided and holds the task in for working later. This option will not submit the request.	
Cancel	Cancel the Data Enrichment stage inputs.	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	
Back	<p>Click of Back to move the task to the previous segment.</p>	

Additional Fields

Refer to [Additional Fields](#).

Advices

DE user can view the Advices generated during Export LC Transfer request. Advices menu displays the advices available under a product code from the back office as tiles. Some of the possible advices could be of Transfer LC Instrument (SWIFT MT 720), Transfer LC Instrument Copy, and Payment message.

The screenshot shows the Oracle system interface for 'Export LC Transfer - DataEnrichment :: Application No: 300ILCI000038905'. The 'Advices' menu is active, displaying four advice tiles:

- Advice : TRANSFER LC INSTRUMENT**
Advice Name : TRANSFER LC INSTRUMENT
Advice Party : ABIK
Party Name : WELLS FARGO LA
Suppress : NO
Advice
- Advice : TRANSFER LC INSTR_COPY**
Advice Name : TRANSFER LC INSTR_COPY
Advice Party : APP
Party Name : MARKS AND SPENCER
Suppress : NO
Advice
- Advice : TRANSFER LC INSURANCE ADV**
Advice Name : TRANSFER LC INSURANCE ADV
Advice Party :
Party Name :
Suppress : YES
Advice
- Advice : PAYMENT_MESSAGE**
Advice Name : PAYMENT_MESSAGE
Advice Party :
Party Name :
Suppress : NO
Advice

The interface includes a navigation sidebar on the left with options like Main, Availability, Payment, Documents and Conditions, Additional Fields, Advices (selected), Additional Details, Settlement Details, and Summary. The bottom toolbar contains buttons for Audit, Request Clarification, Reject, Refer, Hold, Cancel, Save & Close, Back, and Next.

The user can also suppress the Advice, if required.

Advice Details x



Suppress Advice
 Advice Name: GUA_CLAIM_ADV
Medium: MAIL
Advice Party: APP

Party ID: 001044
Party Name: GOODCARE PLC



FFT Code + -

No data to display.

Instructions OK Cancel

Field	Description	Sample Values
Suppress Advice	<p>Toggle on: Switch on the toggle if advice is suppressed.</p> <p>Toggle off: Switch off the toggle if suppress advice is not required.</p>	
Advice Name	User can select the instruction code as a part of free text.	
Medium	The medium of advices is defaulted from the system. User can update if required.	
Advice Party	Value be defaulted from Guarantee /SBLC Issuance. User can update if required.	
Party ID	Value be defaulted from Guarantee /SBLC Issuance. User can update if required.	
Party Name	<p>Read only field.</p> <p>Value be defaulted from Guarantee /SBLC Issuance.</p>	
Free Format Text		
FFT Code	User can select the FFT code as a part of free text.	
FFT Description	FFT description is populated based on the FFT code selected.	
	Click plus icon to add new FFT code.	
	Click minus icon to remove any existing FFT code.	

Instruction Details

Field	Description	Sample Values
Instruction Code	User can select the instruction code as a part of free text.	
Instruction Description	Instruction description is populated based on the FFT code selected.	
	Click plus icon to add new instruction code.	
	Click minus icon to remove any existing instruction code.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to see the documents uploaded.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	
Save & Close	Save the information provided and holds the task in for working later. This option will not submit the request.	
Cancel	Cancel the Data Enrichment stage inputs.	

Field	Description	Sample Values
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	
Back	Click of Back to move the task to the previous segment.	

Additional Details

DE user can verify and enter the basic additional details available for the Export LC Transfer request.

The various additional details should be available as tile. Each tile can be selected and the respective screen will open for the user to capture details.

This is a multi-grid section with facility to attach more than one line.

ORACLE My Tasks (DEFAULTENTITY) (PK2) May 6, 2019 SRIDHAR02 subham@gmail.com

Export LC Transfer - DataEnrichment :: Application No: PK2ELCT00058515

Screen (7 / 9)

Revolving Details	Commission, Charges and...	Preview Messages
Revolving : No Revolving In : Revolving Frequency :	Charge : Commission : Tax : Block Status : Not Initiated	Language : Preview Advice :-

Buttons: Audit, Reject, Refer, Hold, Cancel, Save & Close, Back, Next

Preview Message

The bank user can view a preview of the message and advice simulated from back office which is based on the guarantee Claim captured in the previous screen.

Preview Messages

Preview - SWIFT Message

Language: English Message Type: 700

Preview Message

```

(1:FO1LOYDGB2LA00X1111111111)
(2:1700WFBUS6SXXXN)
(3:{108:300MSOG160011LX})
(4:
:27:1/1
:40A:IRREVOCABLE
:20:300ILSN16001B6GB
:31C:160101
:40E:UCP LATEST VERSION
:31D:160531LONDON
:50:MARKS AND SPENCER
MARGUS2SXXX
:59:GOODCARE PLC
12 KING STREET
:32B:GBP100.
:41A:SKIVDK22SON
BY PAYMENT
:43D:NOT ALLOWED
    
```

Preview - Mail Advice

Language: English Advice Type:

Preview Message

Buttons: Save & Close, Cancel

The Preview section consists of following.

Field	Description	Sample Values
Preview SWIFT Message		
Language	Select the language for the SWIFT message.	
Message Type	Select the message type.	
Preview Message	Display a preview of the draft message.	
Preview Mail Device		
Language	Select the language for the advice message.	
Advice Type	Select the advice type.	
Message Type	Display a preview of the advice.	
Preview Message	This toggle enables the user to select if draft confirmation is required or not	



Note

Preview to have MT 720 as SWIFT and other advices as Mail Advice. This needs to be mentioned under Preview messages heading.

Draft Confirmation

The user can view the draft LC message (outgoing MT700 SWIFT message format) being displayed on the preview message text box.

If the user wants to send a copy of the draft LC for customer confirmation, the same can be done by choosing the customer response slider as 'Yes'. On submit of the data enrichment stage the mail message to the customer will be sent.

The task will not move to approval but to 'Pending customer response stage. Upon receipt of customer's confirmation, the transaction moves to approval.

In case the customer asks for changes, the transaction will move to data enrichment and after necessary changes, it will move to approval.

Draft Confirmation

Draft Confirmation Required



Customer Remarks

Customer Primary Email Id

Customer Response

Response Date

Customer Secondary Email Id

Save & Close Cancel

Commission, Charge and Taxes

On click of 'Next' in the previous screen, system will auto populate the charges, commission and tax components mapped to the product from the back office system.

If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

Commission, Charges and Taxes

Recalculate Redefault

Charge Details

Component	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
LCADVBC	GBP	£800.00		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		PK20010440017
LCEXADV	GBP	£50.00		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		PK20010440017

Commission Details

Component	Rate	Modified	Currency	Amount	Modified	Defer	Waive	Charge Party
No data to display.								

Page 1 (0 of 0 items) < 1 >

Tax Details

Component	Currency	Amount	Billing	Defer	Settlement Account
No data to display.					

Save & Close Cancel

Provide the Commission Details based on the description provided in the following table:

Commission Details

Component	Rate	Modified	Currency	Amount	Modified	Defer	Waive	Charge Party	Settlement Account
No data to display.									

Page 1 (0 of 0 items) < 1 >

Field	Description	Sample Values
Component	Select the commission component	
Rate	Defaults from product. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.	
Currency	Defaults the currency in which the commission needs to be collected	

Field	Description	Sample Values
Amount	<p>An amount that is maintained under the product code defaults in this field.</p> <p>The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate, but not the commission amount directly. The amount gets modified based on the rate changed and the new amount is calculated in back office based on the new rate and is populated in OBTFPM.</p> <p>If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.s</p>	
Modified Amount	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Billing	If charges/commission is handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	
Waive	<p>Select the check box to waive charges/ commission.</p> <p>Based on the customer maintenance, the charges/commission can be marked for Billing or Defer.</p> <p>If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.</p>	
Charge Party	Charge party will be 'Beneficiary' by Default. You can change the value to Applicant	
Settlement Account	Details of the Settlement Account.	

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

Tax details are defaulted from the back-end system.

☒ Tax Details

Component	Currency	Amount	Billing	Defer	Settlement Account
No data to display.					

Field	Description	Sample Values
Component	Tax Component type	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Settlement Account	Details of the settlement account.	
71B- Charges from Beneficiary	User will input the amount to be collected from beneficiary because of this transaction.	

Limit and Collateral

Limits Details

System will display the Limits available as applicable during the issuance.

Limits and Collaterals									
☒ Limit Details									
<input type="checkbox"/>	Customer ID	Line ID	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message	Edit	Delete
<input type="checkbox"/>	001041	001041	100	GBP	\$100,000.00	Not Verified		001041	<input type="button" value="Delete"/>

☒ Collateral Details									
Collateral Type	Collateral %	Currency	Contribution Amount	Settlement Account	Account Balance Check Response	Response Message	Edit	Delete	
Cash Collateral	0	GBP	\$0.00	PK20010430013			Cash Collateral	<input type="button" value="Delete"/>	

Limit Details
✕

Customer Id
001044

Contribution % *
100.0

Contribution Currency
GBP

Limit Currency
GBP

Limit Check Response
Available

Expiry Date
24-Dec-2020

Line ID *
001044_GB



Limits Description

Contribution Amount *

Limit Available Amount

Response Message
The Earmark can be performed as the f



Provide the Limit Details based on the description in the following table:

Field	Description	Sample Values
Edit Link	Click edit link to edit any existing Limit Details.	
	Click plus icon to add new Limit Details.	
	Click minus icon to remove any existing Limit Details.	
Customer ID	This field displays the applicant's bank customer ID.	
Line ID	User can choose from the various lines available and mapped under the customer id gets listed in the drop down. LINE ID-DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount.	

Field	Description	Sample Values
Contribution%	System will default this to 100% and user can modify. System will display an alert message, if modified. Once contribution % is provided, system will default the amount. System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message.	
Limits Description	Description of limit.	
Contribution Currency	The guarantee currency will be defaulted in this field.	
Contribution Amount	User can enter the contribution amount to be utilized under the selected limit.	
Limit Currency	Limit Currency will be defaulted in this field.	
Limit Available Amount	This field will display the value of available limit, i.e., limit available without any earmark.	
Limit Check Response	Response can be 'Success' or 'Limit not Available'.	
Response Message	Detailed Response message.	
Expiry Date	This field displays the date up to which the Line is valid	

Provide the collateral details based on the description provided in the following table:

Field	Description	Sample Values
Edit Link	Click edit link to edit any existing Limit Details.	

Field	Description	Sample Values
	Click plus icon to add new Collateral Details.	
	Click minus icon to remove any existing Collateral Details.	
Collateral Type	Cash Collateral (CASA) will be the default value available as collateral type. User can select either Cash Collateral or Deposits.	
Collateral %	User must enter the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message.	
Currency	Read only field. The guarantee currency will get defaulted in this field.	
Contribution Amount	Collateral contribution amount will get defaulted in this field.	
Settlement Account	Select the settlement account for the collateral.	
Settlement Account Branch	Settlement Account Branch will be auto-populated based on the Settlement Account selection.	
Settlement Account Currency	Select the Settlement Account Currency.	
Account Available Amount	Account Available Amount will be auto-populated based on the Settlement Account selection.	
Response	Response can be 'Success' or 'Amount not Available'.	
Response Message	Detailed Response message.	

Revolving Details

Revolving

Revolving

No

Next Reinstatement Date

mm/dd/yy

Revolving In

Cummulative

Revolving Frequency

Automatic Reinstatement

Revolve Units

Field	Description	Sample Values
Revolving	Read only field. Displays the LC is revolving or non-revolving.	
Revolving In	Read only field. The LC can revolve with Time or Units.	
Revolving Frequency	Read only field. This field captures the frequency in days and months by which the LC revolves.	
Revolving Units	Read only field. This field captures the units by which the LC revolves.	
Next Reinstatement Date	Read only field. This field defaults the date of next reinstatement for the LC based on the revolving frequency selected.	
Cumulative	Read only field. This field is a toggle to indicate if the LC value has to be cumulative or not on reinstatement.	
Automatic Reinstatement	Read only field. This field enables to have automatic reinstatement on the reinstatement day without manual intervention.	

Action Buttons

For Action Buttons, Refer to [Additional Details](#).

Settlement Details

The user can view/input the settlement details for Export LC Transfer request. The following are the list of fields to be displayed.

Export LC Transfer - DataEnrichment :: Application No: PK2ELCT000056498

Settlement Details

Current Event

Component	Currency	Debit/Credit	Account	Account Description	Account Currency	Netting Indicator	Current Event
AELAC_COMM_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No
COLLAMT_OSEQ	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No
COLL_AMNDAMTEQ	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No
COLL_AMTEQ	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No
COLL_AVALAMTEQ	GBP	Credit	PK20010440017	GOODCARE PLC	GBP	No	No
LCADVBC_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No
LCADXADV_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No

Buttons: Audit, Reject, Refer, Hold, Cancel, Save & Close, Back, Next

Provide the settlement details based on the description in the following table:

Field	Description	Sample Values
Current Event	The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event.	
Component	System populates the components based on the product selected.	
Currency	System displays the currency for the component.	
Debit/Credit	System defaults the debit/credit indicators for the components	
Account	System defaults the value based on the product selected.	
Account Description	System displays the account description for the account chosen.	
Account Currency	System displays the account currency for all items based on account number	
Netting Indicator	System displays the netting indicator applicable.	
Current Event	Application displays the current event as Y or N.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to see the documents uploaded.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	
Save & Close	Save the information provided and holds the task in for working later. This option will not submit the request.	
Cancel	Cancel the Data Enrichment stage inputs.	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	

Field	Description	Sample Values
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	
Back	Click of Back to move the task to the previous segment.	

Summary

User can review the summary of details updated in Data Enrichment stage Export LC Transfer request. As part of summary screen, I should be able to see the summary tiles. The tiles should display a list of important fields with values.

The screenshot shows the Oracle Summary screen for an Export LC Transfer request. The application number is PK2ELCT000058515. The screen is divided into several summary tiles:

Main	Availability	Payment	Documents and Conditions	Additional Fields
Form of LC : IRREVOCABLE Submission Mode : Desk Date of Issue : 2019-03-22	Available With : ANYBANK Available By : NEGOTIATION Port of Loading : POL Port of Discharge : POD	Period of Present. : Confirmation Instr. : WITHOUT	Document 1 : AIRDOC Document 2 : INSDOC Document 3 : INVDOC Document 4 : MARDOC Document 5 : OTHERDOC	Click here to view : Additional fields
Advices	Revolving Details	Commission, Charges and Taxes	Preview Messages	Settlement Details
Advice 1 : Advice 2 :	Revolving : N Revolving In : Revolving Frequency :	Charge : Commission : Tax : Block Status : Not Initia	Language : ENG Preview Message : -	Component : Account Number : Currency :
Accounting Details	Party Details	Compliance		
Event : Account Number : Branch :	Applicant : GOODCARE PLC Confirming Bank : WELLS FARG Beneficiary : 300WALKIN	KYC : Not Initia Sanctions : Not Initia AML : Not Initia		

Tiles Displayed in Summary

- Main Details - User can view the application details details and Transfer LC details. User can modify the details, if required.
- Availability - User can view already captured availability and shipment details. User can modify the details, if required.
- Payments - User can view all details related to payments. User can modify the details, if required.
- Additional Fields: Banks can configure the additional fields during implementation.
- Limits and Collaterals: User should be able to see captured details of limits and collateral. User should be able to update data of any field in details.
- Commission, Charges and Taxes: User can see the details provided for charges. User should be able to update the details if required.
- Settlement Details: User can see the Settlement details.
- Revolving Details: User can drill down into revolving details tile to see more information on revolving LC if applicable.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	On Submit, system should validate for all mandatory field values and the task should move to the next logical stage. If the user submits without visiting other mandatory hops, then error message should be displayed and force the user to visit mandatory tabs/update mandatory fields.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. 	
Save & Close	Save the information provided and holds the task in for working later. This option will not submit the request.	
Cancel	Cancel the Data Enrichment stage inputs.	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Field	Description	Sample Values
Back	Click of Back to move the task to the previous segment.	

Customer Response - Draft Confirmation

The user can review and handle the customer's response received for the draft confirmation for Transfer LC transactions, which is sent to the customer for their verification and confirmation.

The customer response can be received both by online and offline mode. In non-online mode, user receives the response in the branch.

Non Online mode - User will have a physical response of the customer.

In online mode the customer will share their response online that will be automatically updated in the customer response field in the task, which is available in the customer response pending stage.

Log in into OBTFPM application, and open the task to see customer response screen.

Application

All fields displayed under Application details section, would be read only.

Received from Applicant Bank: Read Only field

Received from-Customer Id: Read Only field

Customer Name: Read Only field.

Branch: Read Only field.

Currency Code: Read Only field.

Amount: Read Only field.

Priority: Read Only field

Submission Mode: Read Only field.

Process Reference Number: Read Only field

Application Date: Read Only field.

Customer Reference Number: Read Only field.

Customer Response

All fields displayed under Application details section, would be read only.

Language - Read only field

Draft Message - Read only field

Draft Confirmation

Draft Confirmation required - Read only field

Customer Response - This field will be available for you to update any of the below response based on the customer's reply

- Accepted
- Not Accepted (Remarks)

For non-online response – User can select customer response from one of the three drop list values mentioned above.

For Online response – Read only

For Non Online response – The default would be pending. The user will be able to select from the drop list if the customer has accepted the draft or has request for change based on the above drop list responses.

Customer Remarks: The user can capture the remarks of the customer.

Response Date: Non Online channel – I will update the date on which the customer response has been received.

Online Channel – Read Only

Summary

Tiles Displayed in Summary

- Main Details - User can view the details about application details and LC details.
- Party Details - User can view the party details like beneficiary, advising bank etc.
- Availability and Shipment - User can view the availability and shipment details.
- Payments - User can view all details related to payments.
- Documents & Condition - User can view the documents required grid and the additional conditions grid.
- Charges: User can see the details for charges, commission and taxes.
- Preview Messages - User can view the preview details.
- Compliance - User can view compliance details with statuses.

Documents – User must be able to view the documents uploaded in the system and upload documents if customer response has been received through non- online channel.

Remark - Capture remarks if required and must be able to view the remarks captured during earlier stages.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	Task will get moved to next logical stage of Export LC Transfer. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Cancel	Cancel the Draft Confirmation.	

Field	Description	Sample Values
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process. On reject, a reject notification gets generated to the applicant.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance- Limits ● R5 - Others 	

Multi Level Approval

This stage allows the approver user to review and approve the Export LC Transfer transaction.

Log in into OBTFPM application and open the task to see the summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.

Re-Key Authorization

For non-online channel, application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message.

Open the task and re-key some of the critical field values from the request in the Re-key screen. Some of the fields below will dynamically be available for re-key.:

- Currency
- Contract Amount

- Expiry Date

Approval Rekey
✕

📄 Documents
💬 Remarks

Currency
 ✔

Contract Amount
 ✔

Expiry Date
 📅

Refer
Close
Proceed

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able to see the summary tiles and the details in the screen by drill down from tiles.

Summary

My Tasks

(DEFAULTTENITY)
(PK2) May 9, 2019

SRIDHAR02
subham@gmail.com

Export LC Transfer - Approval Task Level 1 : Application No: PK2ELCT000056498
i498

📄
💬
🔄 Overrides

Summary

Main	Availability	Payment	Documents and Conditions	Additional Fields
Form of LC : IRREVOCABLE Submission Mode : Desk Date of Issue : 2019-03-22	Available With : ANYBANK Available By : NEGOTIATION Port of Loading : POL Port of Discharge : POD	Period of Present. : Confirmation Instr. : WITHOUT	Document 1 : AIRDOC Document 2 : INSDOC Document 3 : INVDOC Document 4 : MARDOC Document 5 : OTHERDOC	Click here to view : Additional fields
Advices	Revolving Details	Limits and Collaterals	Commission,Charges and Taxes	Preview Messages
Advice 1 : Advice 2 :	Revolving : N Revolving In : Revolving Frequency :	Limit Currency : USD Limit Contribution : 100000 Limit Status : Not Verified Collateral Currency : GBP Collateral Contr. : Collateral Status : Not Verified	Charge : Commission : Tax : Block Status : Not Initia	Language : ENG Preview Message : -
Settlement Details	Accounting Details	Party Details	Compliance	
Component : Account Number : Currency :	Event : Account Number : Branch :	Beneficiary : Good Healt Confirming Bank : WELLS FARG Applicant : GOODCARE PLC	KYC : Not Verified Sanctions : Not Initia AML : Not Initia	

Audit

Reject
Refer
Hold
Approve
Back
Next

Tiles Displayed in Summary

- Main Details - User can view and modify details about application details and Export LC details. User can modify the details, if required.

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- Availability and Shipment - User can view already captured availability and shipment details. User can modify the details, if required.
- Documents and Conditions: User can to view the details of Documents and Conditions.
- Payments - User can view all details related to payments. User can modify the details, if required.
- Amendment Details - User can view the amended details.
- Additional Fields: Banks can configure the additional fields during implementation.
- Advices: User should be able to view the advice details.
- Commission, Charges and Taxes: User can see the details provided for charges. User should be able to update the details if required.
- Parties Details: User can see the party details like beneficiary, advising bank etc.
- Preview Messages: User can see the preview details grid.
- Settlement Details: User can see the Settlement details.
- Compliance Details: User can see the compliance details tiles. The status should be verified for KYC, AML and Sanction Checks.
- Accounting Details: User can view the accounting details.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	

Field	Description	Sample Values
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others 	
Cancel	Cancel the approval and return to dashboard. The data input will not be saved.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.	

Customer - Acknowledgement Format

Customer Acknowledgment is generated every time a new Export LC Transfer is requested from the customer. The acknowledgment letter format is as follows:

To:

<CUSTOMER NAME>

DATE: DD-MM-YYYY

<CUSTOMER ADDRESS>

Dear Sir,

SUB: Acknowledgement to your LC Application number <CUSTOMER REFERENCE NUMBER> dated <APPLICATION DATE>

This letter is to inform you that we have received your application for Export LC Transfer with the below details:

Applicant: XXXX

Beneficiary: XXXX

Currency: XXXX

Amount: XXXX

Issue Date: XXXX

We have also received the following Documents from you for processing the request:

Document Name 1

Document Name 2

Document Name n

We have registered your request. Please quote our reference < PROCESS REF NUMBER> in any future correspondence.

This acknowledgement does not constitute transfer of Export LC .

Thank you for banking with us.

Regards,

<DEMO BANK>

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Thank you

Customer - Reject Format

Reject Letter is generated by the system and addressed to the customer, when a task is rejected by the user. The Reject Letter format is as follows:

FROM:

<BANK NAME>

<BANK ADDRESS>

TO:

DATE <DD/MM/YYYY>

<CUSTOMER NAME>

<CUSTOMER ADDRESS>

<CUSTOMER ID>

Dear Sir,

SUB: Your Export LC Transfer Application <Customer Reference Number> under our Process Ref <Process Ref No> - Rejected

Further to your recent Export LC Transfer application request dated <Application Date -DD/MM/YYYY>, under our process ref no <process ref no>, this is to advise you that we will not be able to transfer the required Export LC.

After a thorough review of your application and the supporting documents submitted, we have concluded we will not be able to issue the LC due to the below reason

<Reject Reason >

On behalf of Demo Bank, we thank you for your ongoing business and trust we will continue to serve you in future.

For any further queries about details of your Export LC Transfer review, please contact us at our bank customer support ph.no xxxxxxxxxxxx

Yours Truly

Authorized Signatory

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References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

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